

# Perioperative OSA Management: the A to Zzzzs...



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Vancouver Acute Department of Anesthesia  
and Perioperative Care



# Agenda

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- I. Evolution & discovery
- II. Definition & pathophysiology
- III. Perioperative challenges
- IV. Screening & diagnosis
- V. Perioperative PAP therapy
- VI. Prediction of postoperative risk
- VII. Postoperative precautions
- VIII. Conclusion



# I. OSA: Evolution & Discovery

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Jeffrey T. Laitman  
Otolaryngologist & Anthropologist

**“Chewing, walking, reproducing, thinking  
are all fine, but first one has to breathe.”**

# How long can humans stop breathing?

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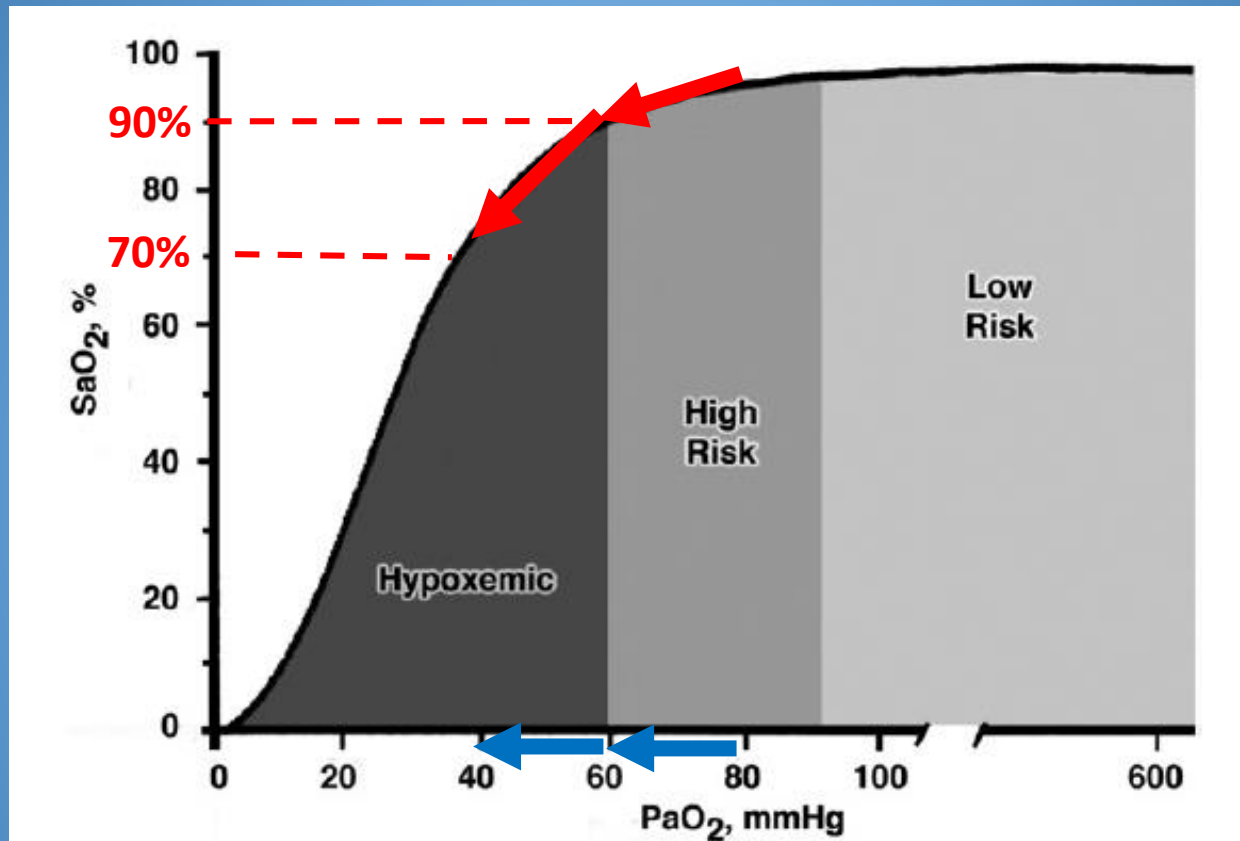


- duration record for breath-holding at surface = 11 min 35 s
  - Stéphane Mifsud, France



- depth record for breath-hold dive = 700 ft
  - Herbert Nitsch, Austria

# Risk of precipitous desaturation with apnea



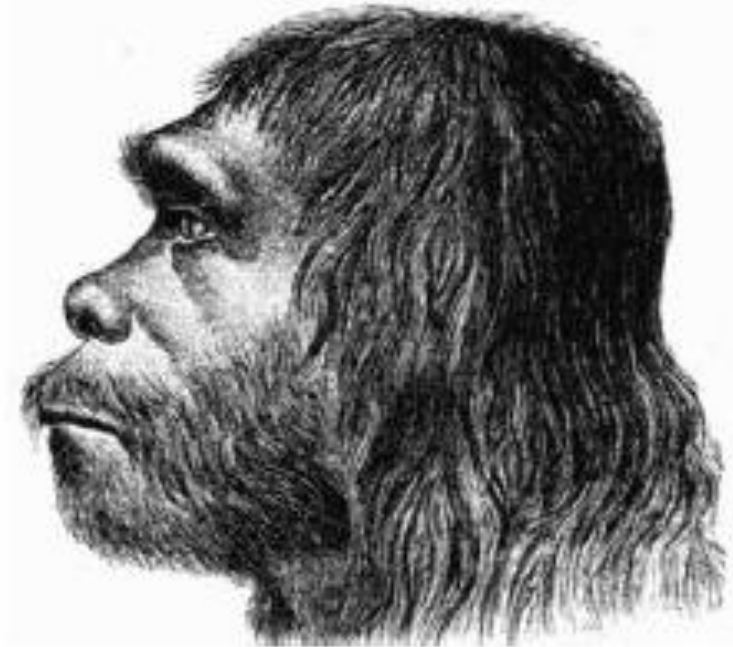
**Figure 1.** Oxyhemoglobin dissociation curve demonstrates the SpO<sub>2</sub> from various levels of PaO<sub>2</sub>. Risk categories are overlaid on the curve. Patients near an SpO<sub>2</sub> of 90% are at risk for precipitous desaturation, as demonstrated by the shape of the curve.

# Why are humans susceptible to OSA?

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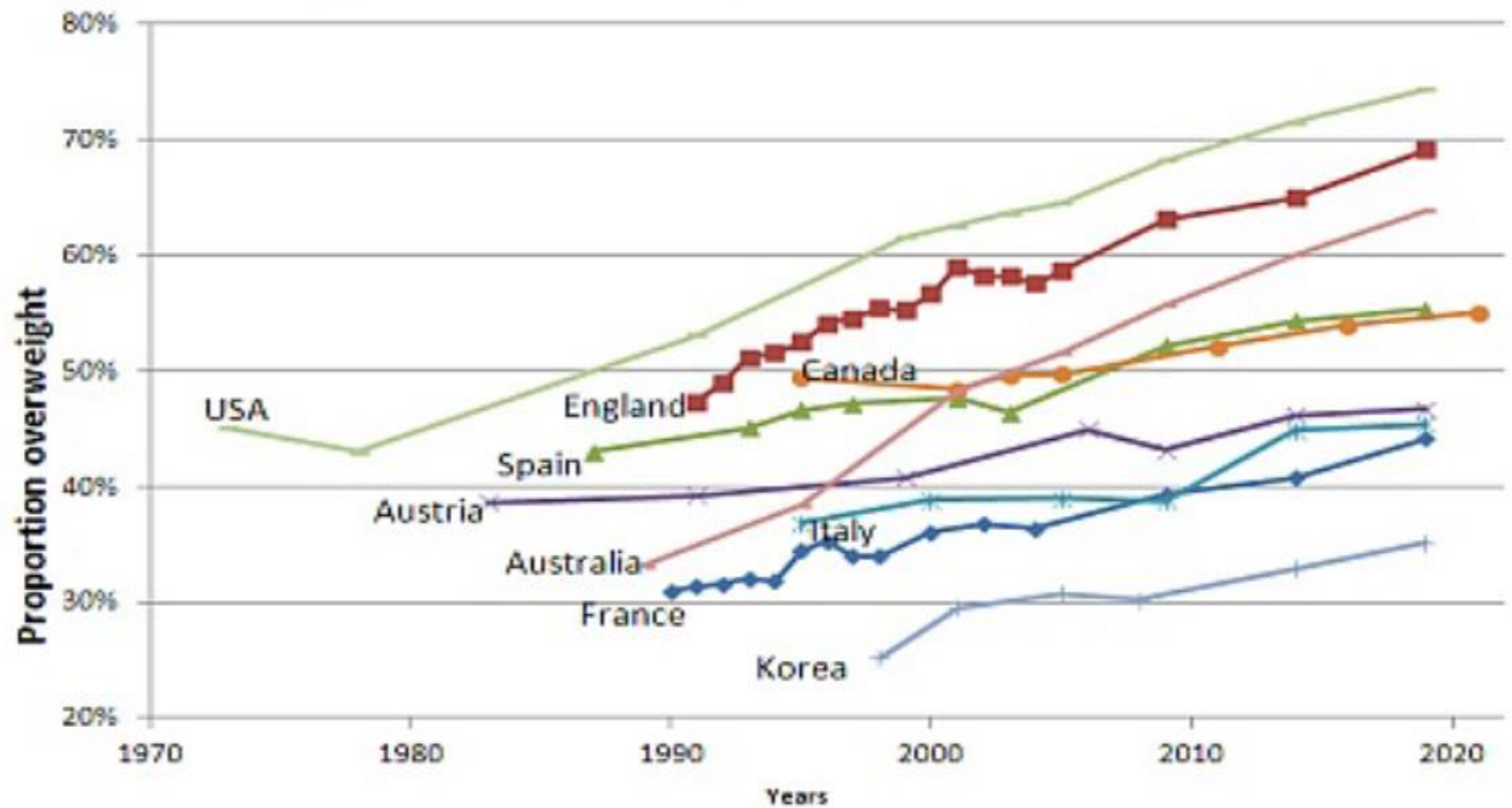
**Homo Erectus – Java Man**



**Neanderthaler**

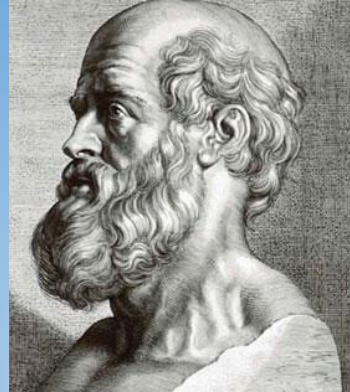


## Past and projected future overweight rates in selected OECD countries



# 400 BCE - Hippocrates

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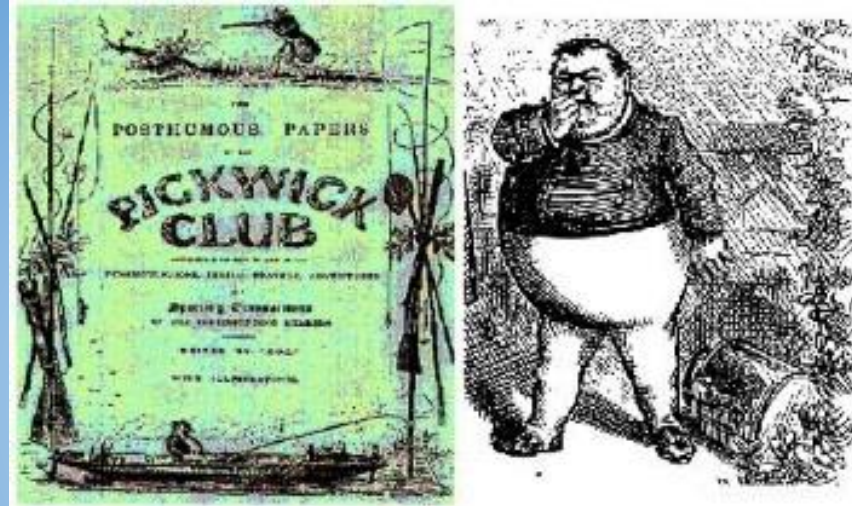
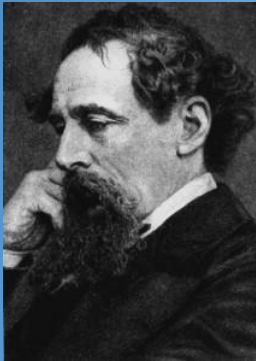


“I have known many persons in sleep groaning and crying out, some in a state of suffocation, some jumping up and fleeing out of doors, and deprived of their reason until they awaken, and afterward becoming well and rational as before, although they be pale and weak; and this will happen not once but frequently.”



# 1836 - Charles Dickens

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- Joe, an obese boy with snoring & sleepiness described in *The Posthumous Papers of the Pickwick Club*:

*“And on the box sat a fat and red-faced boy in a state of somnolency”*

# 1937 - Annie Spitz

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- medical writer in Germany
- describes 3 pts with snoring, apneas, sleepiness, cyanosis, Cheyne-Stokes resp & RHF
- clearly OSA , but believed disorder caused by polycythemia



# 1956 – Sidney Burwell

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## **Extreme Obesity Associated with Alveolar Hypoventilation – A Pickwickian Syndrome\*** *Am J Med.* 1956;21:811-818.

*C. Sidney Burwell, Eugene D. Robin, Robert D. Whaley, †Albert G. Bickelmann*

- Dean of Harvard Medical School: published 1<sup>st</sup> case report of a somnolent, obese pt, titled “A Pickwickian syndrome”
- erred badly in evaluating somnolent obese pts only during waking, & attributing cause of somnolence to hypercapnia
- popularity of this paper likely contributed to delay in discovery of sleep apnea for an additional decade

# 1965 - Discovery of OSA

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## Neurophysiological studies of abnormal night sleep and the Pickwickian syndrome

R. Jung and W. Kuhlo

*Sleep Mechanisms, Progress in Brain Research 1965*

## Etude polygraphique des manifestations épisodiques (hypnique et respiratoires), diurnes et nocturnes, du syndrome de Pickwick



H. Gastaut, C.A. Tassinari and B. Duron

*Rev. Neurol. 1965*



**1975:** Term *sleep apnea* 1st introduced by Christian Guilleminault's team at Stanford. Becomes 1<sup>st</sup> editor of journal *Sleep* in 1978



# Evolution of OSA Treatment

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- **Surgery for Snoring: Ikematsu**
  - 1952: began removing excessive oropharyngeal tissue
  - 1962: reported results PPP with partial uvulectomy in 152 pts
- **Surgery for OSA**
  - 1969: Tracheostomy (Kuhl et al)
  - 1979: Mandibular advancement (Kuo et al)
  - 1981: UPPP (Fujita et al)
- **1981: Nasal CPAP** - invention published in *Lancet*



Colin Sullivan



# Moderate to severe OSA: 1<sup>st</sup> line Tx

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## Lifestyle changes

- lose weight
- sleep on side
- avoid alcohol in evening
- d/c nocturnal sedation
- stop smoking

+

## Nasal CPAP



# OSA: Efficacy of alternatives to PAP Tx

## Oral Appliance



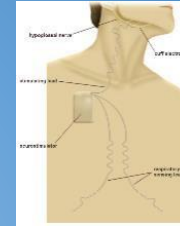
AHI  $\leq 10$  in 52.6%

## Nasal EPAP



AHI  $\downarrow$  55%

## HGNS



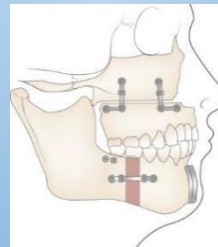
AHI  $\downarrow$  55%

## Tracheostomy



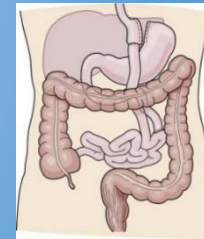
AHI  $\downarrow$  100%

## MMA



AHI  $\downarrow$  87%

## Bariatric Surgery



AHI  $\downarrow$  72%

## RFA

AHI  $\downarrow$  34%

## UPPP

AHI  $\downarrow$  33%

## Palatal Implants

AHI  $\downarrow$  26%

## LAUP

AHI  $\downarrow$  18%



## II. OSA: Definition & Pathophysiology

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= **repetitive upper airway obstruction during sleep** → hypoxemia, hypercapnia, sleep fragmentation, marked swings in intrathoracic pressure, ↑ sympathetic activity, insulin resistance & inflammatory/oxidative  $\Delta$ s



Night



Day

# Apnea Hypopnea Index

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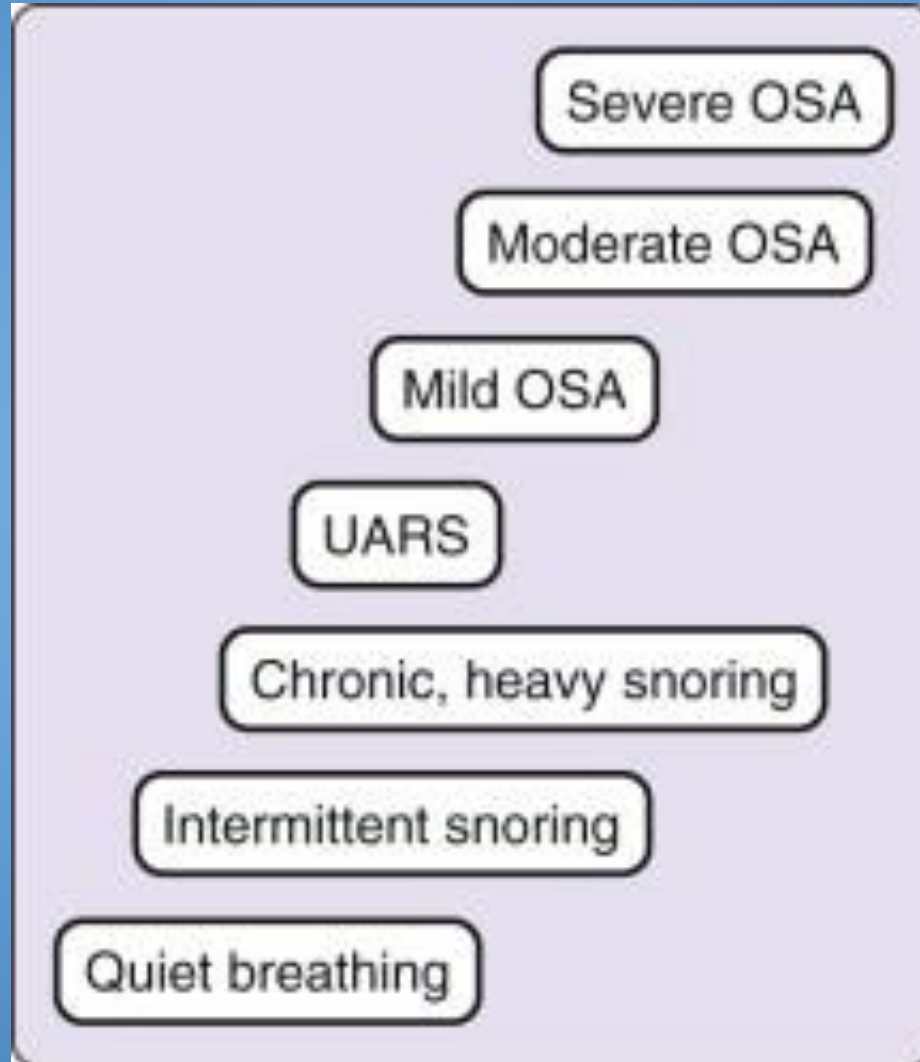
- **Apnea** = cessation airflow for  $\geq 10$  s
- **Hypopnea** =  $\geq 50\%$   $\downarrow$  of tidal volume for  $\geq 10$  s
- **AHI** = number of these events per hour during sleep

AHI	Severity OSA
5-14	~ mild
15-30	~ moderate
> 30	~ severe



# Sleep-related obstructive breathing

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# Sleep-Related Breathing Disorders

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- **Obstructive Sleep Apnea**: most common form of SDB
- **Central Sleep Apnea**
  - 1° idiopathic
  - 2° CSA
    - drug/substance related (rising - e.g. methadone population)
    - medical conditions
      - Cheyne-Stokes breathing (CHF & neurologic)
      - non Cheyne-Stokes breathing (neurologic)
    - high altitude periodic breathing
    - complex CSA = emergence of CSA after PAP therapy titrated to eliminate OSAS
- **Sleep Related Hypoventilation/Hypoxemic Syndromes**
- **Mixed pattern** – e.g. 90% patients with OHVS has OSA



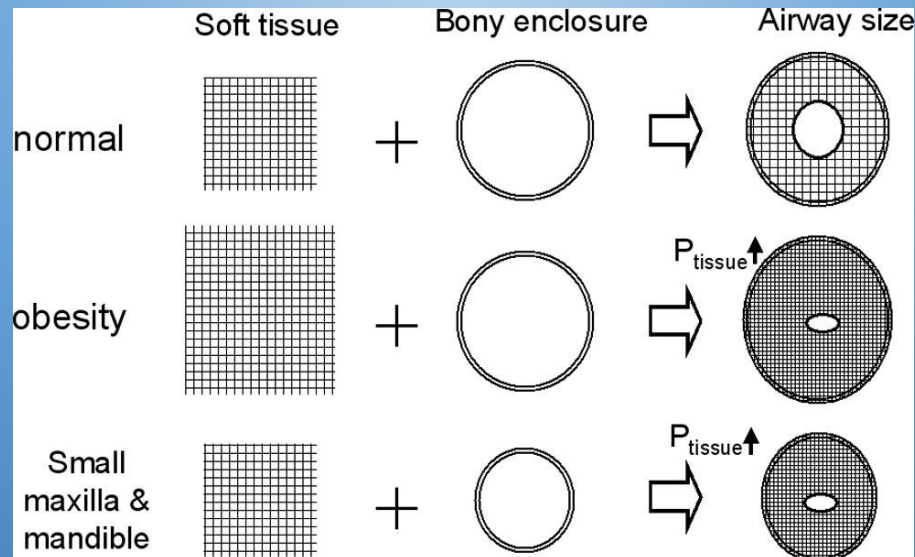
# OSA Pathophysiology: Anatomic Hypothesis

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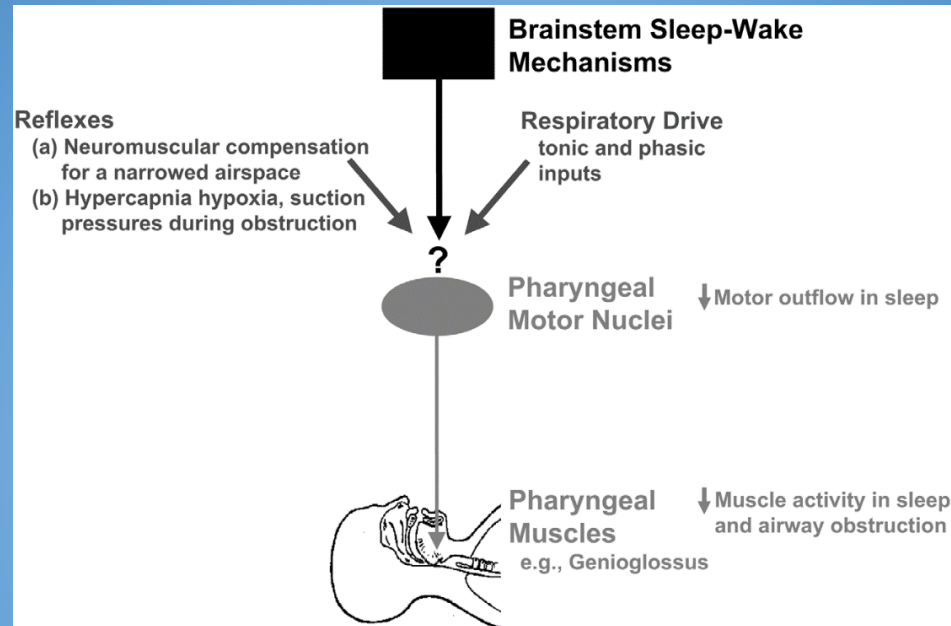
excessive pharyngeal airway soft tissue  
for a given mandible-maxilla size



narrowed, & more collapsible pharyngeal airway



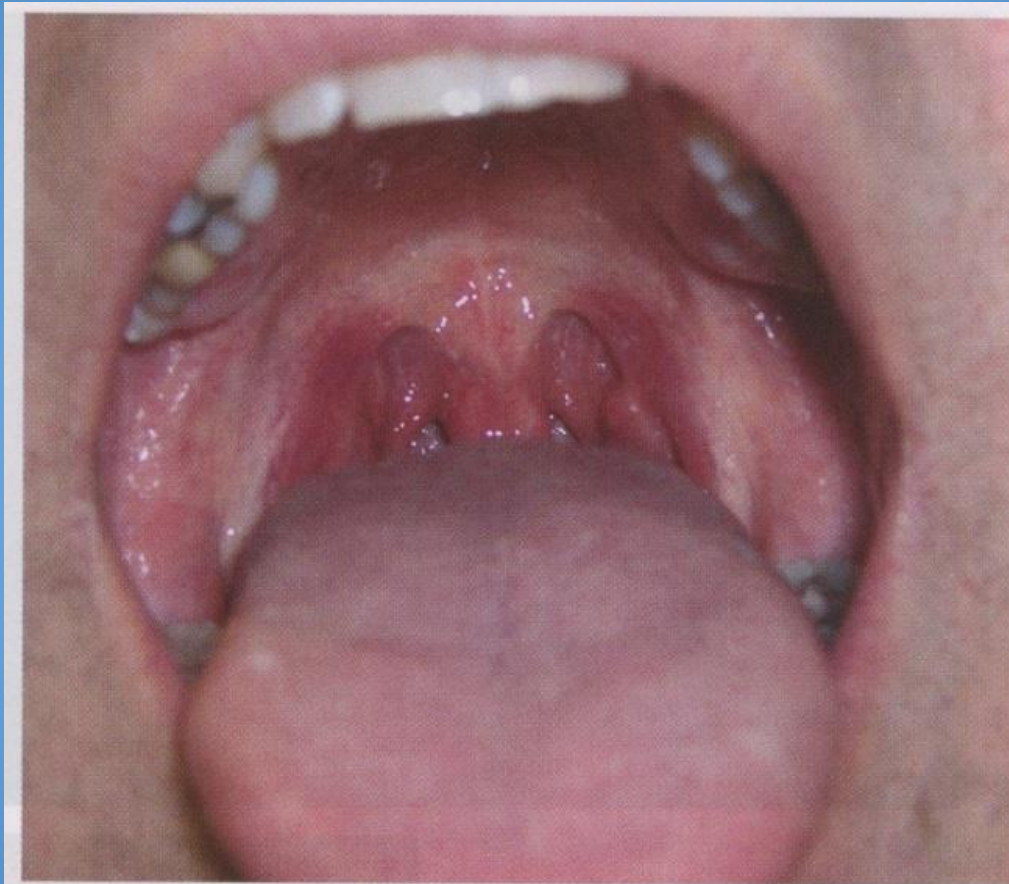
# OSA pathophysiology: neural hypothesis



1. sleep fragm from OSA may → excessive ↓ pharyng tone during sleep
2. ? also sensori-neural abn in upper airway propagating OSA

# OSA: upper airway inflammation & edema

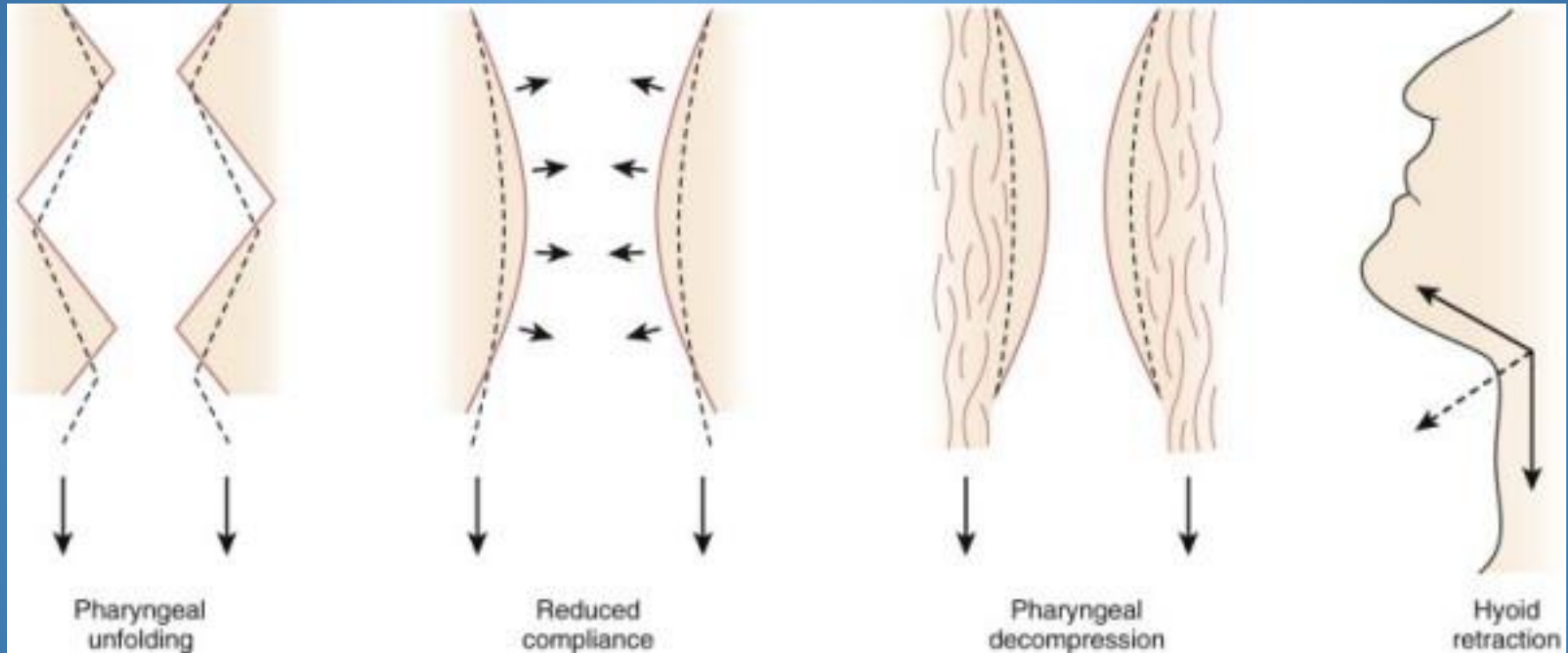
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**FIGURE 13.1-36.** Trauma (redness) as a result of snoring.

# ↑ Lung volumes → ↑ pharyngeal patency

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Van de Graaff WB. Thoracic influence on upper airway patency. J Appl Physiol 1988;65:2124-2131



# III. OSA: Perioperative Challenges

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# OSA: Widely Prevalent

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- most prevalent form of SDB
- gen. population: 30-60 yo<sup>1</sup>
  - AHI  $\geq 5$  (all grades OSA): 24% M & 9% F
  - AHI  $\geq 15$  (moderate to severe OSA): 9% M & 4% F
- surgical populations > gen. population ?
  - bariatric surgery: preval > 70%<sup>2,3</sup>
  - elective non-upper airway surgery: preval  $\sim$  22%<sup>4</sup>
  - however, preval of treated OSA only 7% in largest academic centre study of preop pts<sup>5</sup>

<sup>1</sup>Young. Am J Respir Crit Care Med. 2002

<sup>2</sup>Frey. Obes Surg. 2003; <sup>3</sup>Lopez. Ann Surg. 2008

<sup>4</sup>Finkel. Sleep Med. 2009

<sup>5</sup>Ramachandran. Anesth Analg. 2010

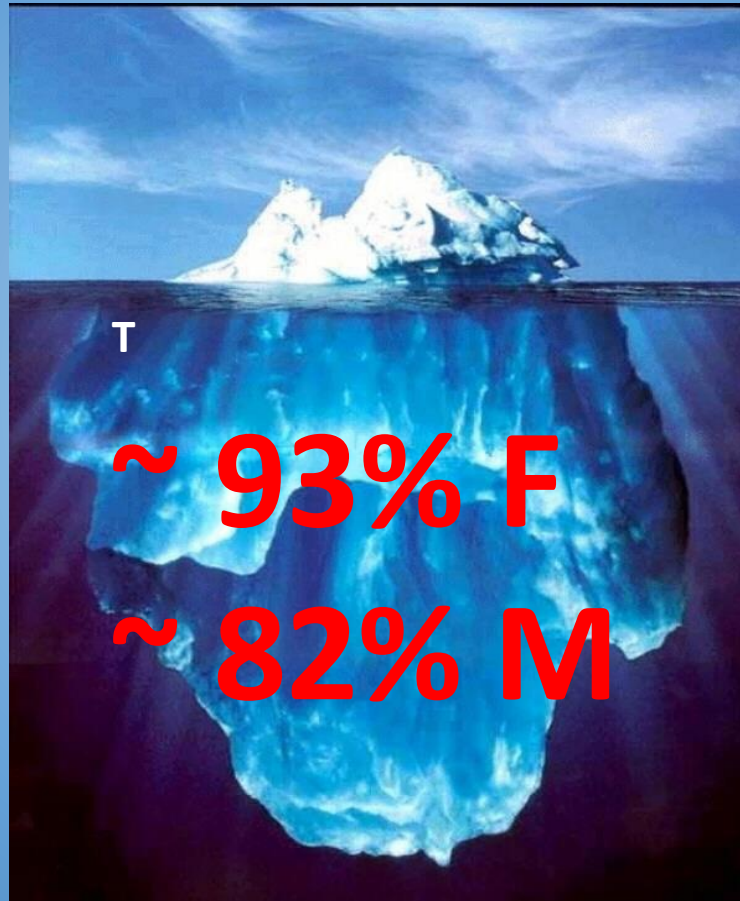


# OSA: Vastly Underdiagnosed

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Terry Young



Wisconsin Cohort. Young et al. 1997





























# Sleep Disorder Programs in BC

## Diagnostic Accreditation Program



- Vancouver (UBCH; BCCH)
- Victoria (Royal Jubilee Hospital)
- Richmond
- Surrey
- Abbotsford
- Kelowna (KGH)
- Kamloops (Royal Inland Hospital)
- Nanaimo

# OSA: High Prevalence Comorbidities

Category		Condition		Prevalence (%)
Cardiac		Treatment-Resistant HTN		63-83
		CHF		76
		IHD		38
		AF		49
		Dysrhythmias		58
Respiratory		Asthma		18
		Pulmonary HTN		77
		First-Ever stroke		71-90
Neurologic		DM Type II		36
		Metabolic Syndrome		50
		Hypothyroidism		45
Metabolic		Morbid Obesity		50-90
		Bariatric Surgery		71
		Intracranial Tumor Surgery		64
		Epilepsy Surgery		33
		GERD		60
Other		Nocturia		48
		Alcoholism		17
		Primary Open-Angle Glaucoma		20
		Head and Neck Cancer		76

Adapted from Seet & Chung. Anesthesiology Clin. 2010;28:199-215



# OSA: ↑ Incidence Difficult Intubation

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	General population	OSA in adult obese population <sup>2</sup>
Difficult intubation	0.5-2% <sup>1</sup>	13% <sup>3</sup> ; 24% <sup>4</sup>
Failed intubation	0.045-0.31 <sup>1</sup>	5% <sup>5</sup>

1. Crosby, E. The unanticipated difficult airway. Can J Anesth 2005 / 52:6 / pp. 562-567
2. Benumof, J. OSA in the adult obese patient: implications for airway management. Anesthesiology Clin N Am 20 (2002) 789– 811
3. Buckley, et al. Anaesthesia in the morbidly obese. Anesthesia 1983;38:840-51
4. Cherit, et al. Anesthesia for morbidly obese patients. World J Surg 1998;22:969-73.
5. Esclamado, et al. Perioperative complications and risk factors in the surgical treatment of OSAS. Laryngoscope 1989; 99:1125– 9.



# OSA: Predictor Impossible Mask Ventilation

Anesthesiology 2009; 110:891-7

Copyright © 2009, the American Society of Anesthesiologists, Inc. Lippincott Williams & Wilkins, Inc.

## *Prediction and Outcomes of Impossible Mask Ventilation*

### *A Review of 50,000 Anesthetics*

Sachin Kheterpal, M.D., M.B.A.,\* Lizabeth Martin, M.D.,† Amy M. Shanks, M.S.,‡ Kevin K. Tremper, Ph.D., M.D.§



- University Hospital Ann Arbor, Michigan; 2004 to 2008
- 53,041 attempts at mask ventilation recorded
- 77 cases of impossible mask ventilation (0.15%)
  - 19 (25%) of those also demonstrated difficult intubation
- **Sleep Apnea 1 of 5 independent predictors of impossible mask ventilation**

**Table 4. Independent Predictors of Impossible Mask Ventilation**

Predictor	B Coefficient	Standard Error	P Value	Weighted Points*	Adjusted Hazard Ratio (95% Confidence Interval)
Neck radiation changes	1.964	0.628	0.002	6	7.1 (2.1–24.4)
Male sex	1.206	0.322	< 0.001	4	3.3 (1.8–6.3)
Sleep apnea	0.859	0.302	0.005	3	2.4 (1.3–4.3)
Mallampati III or IV	0.678	0.276	0.014	2	2.0 (1.1–3.4)
Presence of beard	0.639	0.284	0.024	2	1.9 (1.1–3.3)

Independent predictors of impossible mask ventilation were derived using a logistic regression full model fit.

\* Points in the weighted score were assigned to each risk factor by dividing each B coefficient by the smallest B coefficient of the independent predictors, multiplying by two, and rounding to the nearest integer.



# OSA: ↑ severity in postop period

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- **pharmacological airway challenge**
  - ↓ upper airway muscle tone (hypnotics; opioids; NMB)
  - ↓ ventilatory drive (opioids)
  - ↓ arousal response (hypnotics)
- **airway edema**
  - airway/head/neck/C-spine procedures
  - prolonged Trendelenburg/prone position
  - significant fluid administration
- **↓ lung volumes → ↑ collapsibility pharynx**
  - trachea exerts longitudinal traction during deep insp
- **REM sleep rebound POD 3-4**
  - ↑ resp depression & ↑ sympathetic activity



# OSA: ↑ in Perioperative Morbidity

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ANESTHESIA & ANALGESIA January 2011 • Volume 112 • Number 1

## **Perioperative Pulmonary Outcomes in Patients with Sleep Apnea After Noncardiac Surgery**

Stavros Memtsoudis, MD, PhD,\* Spencer S. Liu, MD,\* Yan Ma, PhD,† Ya Lin Chiu, MS,† J. Matthias Walz, MD,‡ Licia K. Gaber-Baylis, BA,† and Madhu Mazumdar, PhD†

*British Journal of Anaesthesia* 109 (6): 897–906 (2012)  
Advance Access publication 6 September 2012 · doi:10.1093/bja/aes308

BJA

## **Meta-analysis of the association between obstructive sleep apnoea and postoperative outcome**

R. Kaw<sup>1,2\*</sup>, F. Chung<sup>3</sup>, V. Pasupuleti<sup>4</sup>, J. Mehta<sup>3</sup>, P. C. Gay<sup>6,7</sup> and A. V. Hernandez<sup>5</sup>

- ↑ desats, resp failure, aspiration, re-intubation, ARDS, dysrhythmias & myocard injury.
- ↑ requirement postop vent support, unplanned transfer to ICU, admission to SDU, telemetry services, longer hospital stay, & consume more economic resources.





# Does OSA → ↑ Periop Mortality?

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- **↑ in all cause mortality** associated with OSA in general population, correlating with severity OSA
- **unexpected/unexplained postop deaths within 7 days postop most often occur at night**
  - cardio-resp events related to sleep proposed as most likely cause of postop mortality at night
- **anecdotes/reports:** preventable periop deaths following resp arrest do occur in pts with OSA

Busselton Health Study

Rosenberg et al. Br J Surg 1992;79:1300-1302

Rosenberg-Adamsen. Br J Anaesth. 1996;76:552-559

Gill et al. Br J Anaesth 1992;68:471-473

Cotes. Anesth Analg. 2014





# OSA & Periop Mortality: data lacking...

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- *prospective studies*

- ethical issue with denying known sleep apnea pts approp level of risk reduction care, including ↑ level of postop monitoring

- *retrospective studies*

- difficult/impossible to retrospectively determine sequence of events leading up to arrest
- unexplained cardioresp arrest may be attributable to unDx OSA in surgical pts, however this connection has yet to be tested by RCTs



# **Death or Neurologic Injury after Tonsillectomy in Children with a Focus on Obstructive Sleep Apnea: Houston, We Have a Problem!**

Anesth Analg 2014;118:1276–83

Charles J. Coté, MD,\* Karen L. Posner, PhD,† and Karen B. Domino, MD, MPH†



**Charles J Cote**  
**Professor of Anesthesia**  
**Harvard Medical School**

“Death after tonsillectomy related to hemorrhage may not be preventable, but death due to apnea is preventable”



# OSA: Periop Medicolegal Perspective

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- Benumof: “expert opinion” in ~ 50 OSA medico-legal cases in US
  - ~ 30% cases intubation/extubation misadventures (usually latter)
  - ~ 70% cases found "dead in bed" - prototypical OSA malpractice case



J. Benumof

- clinical components of prototypical "dead in bed" case:
  1. severe OSA
  2. morbid obesity
  3. isolated ward room
  4. no monitoring
  5. receiving narcotics
  6. painful incision
  7. off O<sub>2</sub>
  8. off CPAP device

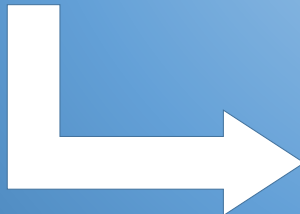
# “? OSA” is not a diagnosis!

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“? OSA”, like “? angina”, is a problem requiring workup, especially if daytime somnolence



“? OSA” not followed up



Medical Malpractice Lawyer



# OSA: Summary of Perioperative Challenges

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- widely prevalent, vastly underDx
- ↑ incidence periop complications
  - signif comorbidities
  - ↑ incid difficult airway
  - postop exacerbation of OSA
- relative shortage resources
  - preop: diagnostic bottle neck
  - postop: limited monitored beds
- preventable deaths do occur





# IV. OSA: Screening

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- cardinal symptoms
  - snoring/observed apneas/tiredness
- physical examination
  - anthropometrics: BMI/neck circumference
  - morphometrics: upper airway
- multivariate clinical prediction tools
  - **Flemons SACS**: 1994
  - Berlin Questionnaire: 1996
  - ASA Checklist: 2006
  - **STOP-BANG Score**: 2008
  - P-SAP Score: 2010



# OSA: Snoring

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- most frequently reported symptom in OSAHS
  - 70-95% of such pts
- however, poor predictor OSA
  - gen pop: 35-45% M, & 15-28% F snore

# Central Obesity

= single physical finding most predictive of OSAS



- **BMI**
  - 60-90% pts with OSA have BMI > 30 (Benumof)
  - BMI > 40: AHI  $\geq$  15 in ~50% M, & ~20% F (Wisconsin Cohort)
- Neck (or waist) size may be better indicators of OSA severity
  - neck circ: > 43 cm (17") in M; > 41 cm (16") in F
  - waist circ: > 102 cm (40")

## STOP-BANG OSA Screening Tool

			Yes	No
<b>S</b>	<b>Snoring</b>	Do you <b>snore</b> loudly (loud enough to be heard through closed doors)?	<input type="checkbox"/>	<input type="checkbox"/>
<b>T</b>	<b>Tired</b>	Do you often feel <b>tired</b> , fatigued, or sleepy during daytime?	<input type="checkbox"/>	<input type="checkbox"/>
<b>O</b>	<b>Observed</b>	Has anyone <b>observed</b> you stop breathing during your sleep?	<input type="checkbox"/>	<input type="checkbox"/>
<b>P</b>	Blood <b>P</b> ressure	Do you have or are you being treated for high blood <b>p</b> ressure?	<input type="checkbox"/>	<input type="checkbox"/>
<b>B</b>	<b>BMI</b>	<b>BMI</b> more than 35 kg/m <sup>2</sup> ?	<input type="checkbox"/>	<input type="checkbox"/>
<b>A</b>	<b>Age</b>	<b>Age</b> over 50 years old?	<input type="checkbox"/>	<input type="checkbox"/>
<b>N</b>	<b>Neck circumference</b>	<b>Neck circumference</b> greater than 40 cm?	<input type="checkbox"/>	<input type="checkbox"/>
<b>G</b>	<b>Gender</b>	Male?	<input type="checkbox"/>	<input type="checkbox"/>

Score	Probability of moderate to severe OSA
5-8	high
3-4	intermediate
0-2	low



Frances Chung

## High STOP-Bang score indicates a high probability of obstructive sleep apnoea

F. Chung<sup>1\*</sup>, R. Subramanyam<sup>1</sup>, P. Liao<sup>1</sup>, E. Sasaki<sup>1</sup>, C. Shapiro<sup>2</sup> and Y. Sun<sup>1</sup>

**Table 4** Predicted probabilities per score for all OSA, moderate/severe OSA, and severe OSA. CI, confidence interval; AHI, apnoea–hypopnoea index; n, number; Mod/Sev OSA, moderate/severe OSA

Score	All OSA (AHI>5)		Mod/Sev OSA (AHI>15)		Severe OSA (AHI>30)	
	n	Probability (95% CI)	n	Probability (95% CI)	n	Probability (95% CI)
0–2	81	0.46 (0.39–0.53)	31	0.18 (0.13–0.24)	7	0.04 (0.02–0.08)
3	123	0.72 (0.65–0.78)	61	0.36 (0.29–0.43)	22	0.13 (0.09–0.19)
4	121	0.73 (0.66–0.79)	69	0.42 (0.34–0.49)	30	0.18 (0.13–0.25)
5	95	0.77 (0.69–0.84)	62	0.50 (0.42–0.59)	37	0.30 (0.23–0.39)
6	54	0.79 (0.68–0.87)	39	0.57 (0.45–0.69)	22	0.32 (0.22–0.44)
7 and 8	36	0.86 (0.72–0.93)	25	0.60 (0.44–0.73)	16	0.38 (0.29–0.53)

↑ probability of OSA with ↑ STOP-Bang Score

STOP-Bang score  $\geq 5$ : indicates high probability of moderate-severe OSA





# Sleep Apnea Clinical Score: 1994



Ward Flemons

## Likelihood Ratios for a Sleep Apnea Clinical Prediction Rule

Am J Respir Crit Care Med  
Vol 150. pp 1279-1285, 1994

W. WARD FLEMONS, WILLIAM A. WHITELAW, ROLLIN BRANT, and JOHN E. REMMERS

Departments of Medicine and Community Health Sciences, Foothills Hospital and University of Calgary, Calgary, Alberta, Canada

*Physician to circle sleep apnea clinical score on table*

### Sleep Apnea Clinical Score (SACS)

Neck Circumference (cm)	Not Hypertensive Snoring &/or Choking (usually/always)			Hypertensive Snoring &/or Choking (usually/always)		
	None	One snoring <i>or</i> choking	Both snoring & choking	None	One snoring <i>or</i> choking	Both snoring & choking
<30	0	0	1	0	1	2
30-31	0	0	1	1	2	4
32-33	0	1	2	1	3	5
34-35	1	2	3	2	4	8
36-37	1	3	5	4	6	11
38-39	2	4	7	5	9	16
40-41	3	6	10	8	13	22
42-43	5	8	14	11	18	30
44-45	7	12	20	15	25	42
46-47	10	16	28	21	35	58
48-49	14	23	38	29	48	80
>49	19	32	53	40	66	110

Hypertension is positive if patient is receiving antihypertensive medication, or blood pressure in supine position > 160/95

Choking can also be described as gasping or snorting

Usually is defined as 3-5 times per week

**SACS ≥ 15: high probability of sleep apnea**



# V. OSA: Diagnosis

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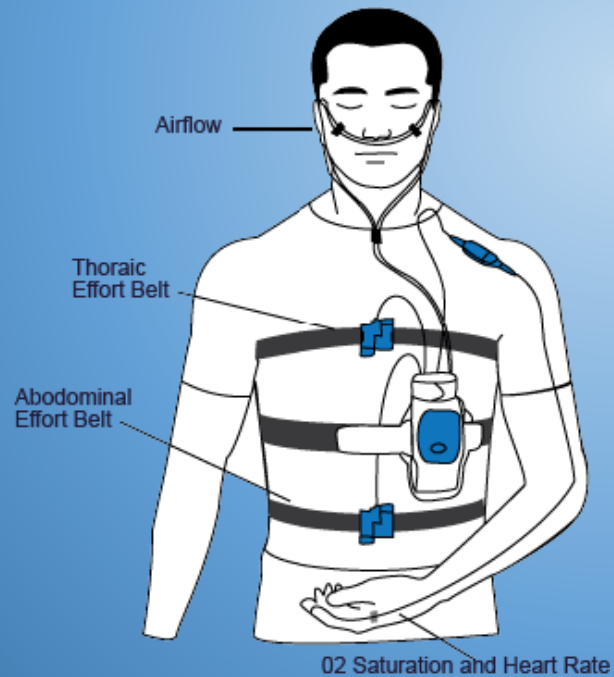
"OK, Mrs. Tully. We want you to relax, get a good night's sleep, and we'll evaluate any sleep issues that you have."

# Sleep Study: Levels

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- **Level I: Full In-laboratory Polysomnography** (technician attended)
  1. airflow
  2. respiratory effort
  3. SpO<sub>2</sub>
  4. ECG or HR
  5. EEG
  6. EOG
  7. chin EMG
  8. (recommended: body position & leg EMG)
- **Level II: Full Ambulatory Polysomnography** (unattended)
- **Level III: Portable Monitor (Home Sleep Testing)**
  1. airflow
  2. respiratory effort
  3. SpO<sub>2</sub>
- **Level IV: Overnight Oximetry**







# PACU: ? biggest sleep laboratory

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# VI. OSA & perioperative PAP therapy

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- Professional Guidelines (ASA, CTS, SASM)
  - initiate PAP Tx preop
  - resume PAP Tx postop
- Postop period not ideal time to initiate PAP Tx in PAP naive

# OSA: potential benefits preop PAP Tx

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- ↑ compliance with postop PAP Tx
- ↓ edema & inflammation upper airway
- improved genioglossus fxn
- can reverse CVS & metab disturbances

# OSA: postop PAP Tx in PAP naive patient

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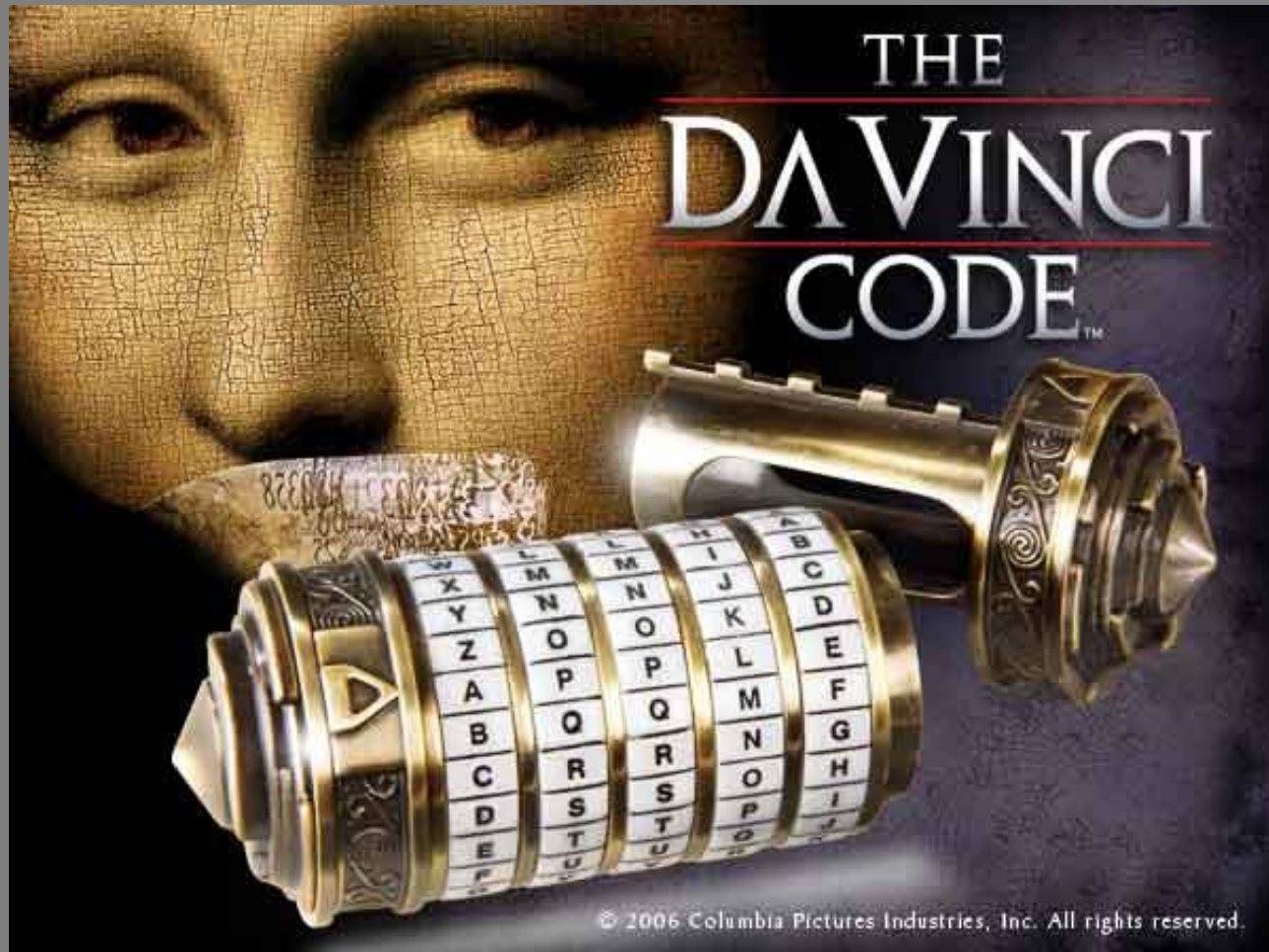
**ASA OSA Task Force:** consider CPAP or NIPPV if frequent or severe airway obstruction or hypoxemia occurs postop



- high risk situation
- significant compliance challenge

# VII. OSA: Prediction of Postop Risk

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# The Challenge of Postop Risk Prediction

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to identify pts at ↑ risk from sleep apnea  
with enough sensitivity to prevent arrests  
& enough specificity to avoid occupying  
monitored beds unnecessarily



# “Risk of OSA” - a potentially confusing phrase !

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Risk of “having OSA”



**OSA screening tool**  
(e.g. STOP-BANG or Flemons SACS)

Risk of “postop complications from OSA”



**2-component risk prediction model**

severity OSA & comorbidities  
impact surgery/anesthesia  
postop opioid requirement

+

postop indicators of risk

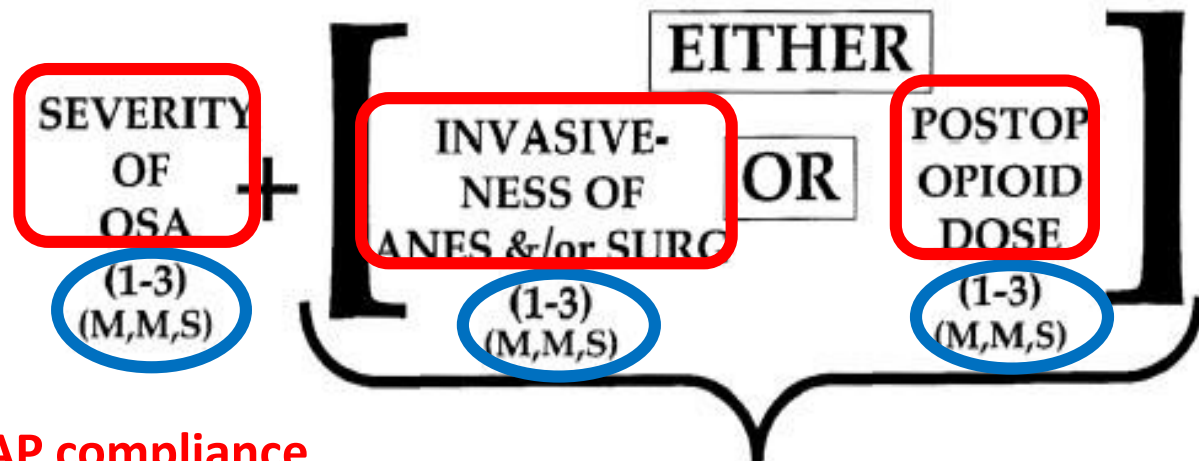


# OSA: Determinants of Postoperative Risk

C

## EXAMPLE/METHOD FOR DETERMINING PERIOPERATIVE RISK

PERIOPERATIVE RISK =



PAP compliance

$\text{PaCO}_2 \geq 50$

Whichever is greater

FIG. 6. Calculating perioperative risk. M, M, S = mild, moderate, or severe.



# ASA-OSA: Postop Risk Scoring System



**Table 2. OSA Scoring System: Example**

	Points
<b>A. Severity of sleep apnea based on sleep study (or clinical indicators if sleep study not available). Point score ____ (0–3)*†</b>	
Severity of OSA (table 1)	
None	0
Mild	1
Moderate	2
Severe	3
<b>B. Invasiveness of surgery and anesthesia. Point score ____ (0–3)</b>	
Type of surgery and anesthesia	
Superficial surgery under local or peripheral nerve block anesthesia without sedation	0
Superficial surgery with moderate sedation or general anesthesia	1
Peripheral surgery with spinal or epidural anesthesia (with no more than moderate sedation)	1
Peripheral surgery with general anesthesia	2
Airway surgery with moderate sedation	2
Major surgery, general anesthesia	3
Airway surgery, general anesthesia	3

**C. Requirement for postoperative opioids. Point score \_\_\_\_ (0–3)**

Opioid requirement	
None	0
Low-dose oral opioids	1
High-dose oral opioids, parenteral or neuraxial opioids	3

**D. Estimation of perioperative risk. Overall score = the score for A plus the greater of the score for either B or C. Point score \_\_\_\_ (0–6)‡**

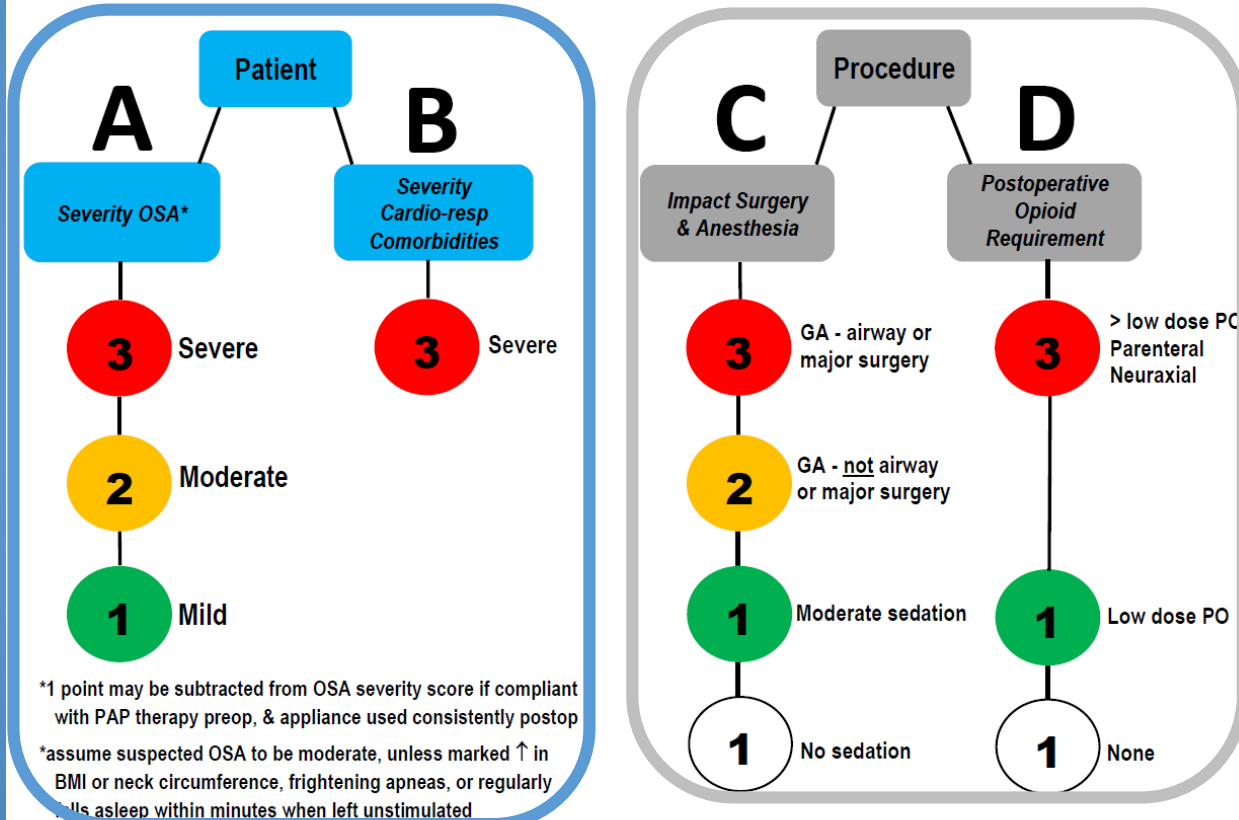
A scoring system similar to this table may be used to estimate whether a patient is at increased perioperative risk of complications from obstructive sleep apnea (OSA). This example, which has not been clinically validated, is meant only as a guide, and clinical judgment should be used to assess the risk of an individual patient.

\* One point may be subtracted if a patient has been on continuous positive airway pressure (CPAP) or noninvasive positive-pressure ventilation (NIPPV) before surgery and will be using his or her appliance consistently during the postoperative period. † One point should be added if a patient with mild or moderate OSA also has a resting arterial carbon dioxide tension ( $P_{aCO_2}$ ) greater than 50 mmHg. ‡ Patients with score of 4 may be at increased perioperative risk from OSA; patients with a score of 5 or 6 may be at significantly increased perioperative risk from OSA.

# OSA: Postoperative Risk Score

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Add greatest score under either column A or B, to greatest score under either column C or D



Postoperative Risk Score	Postoperative Risk	Minimum Observation Level
5-6	may be significantly ↑	monitored bed
4	may be ↑	? ward
2-3	probably not ↑	home

# Postoperative Risk Score: Example 1

**TKR**

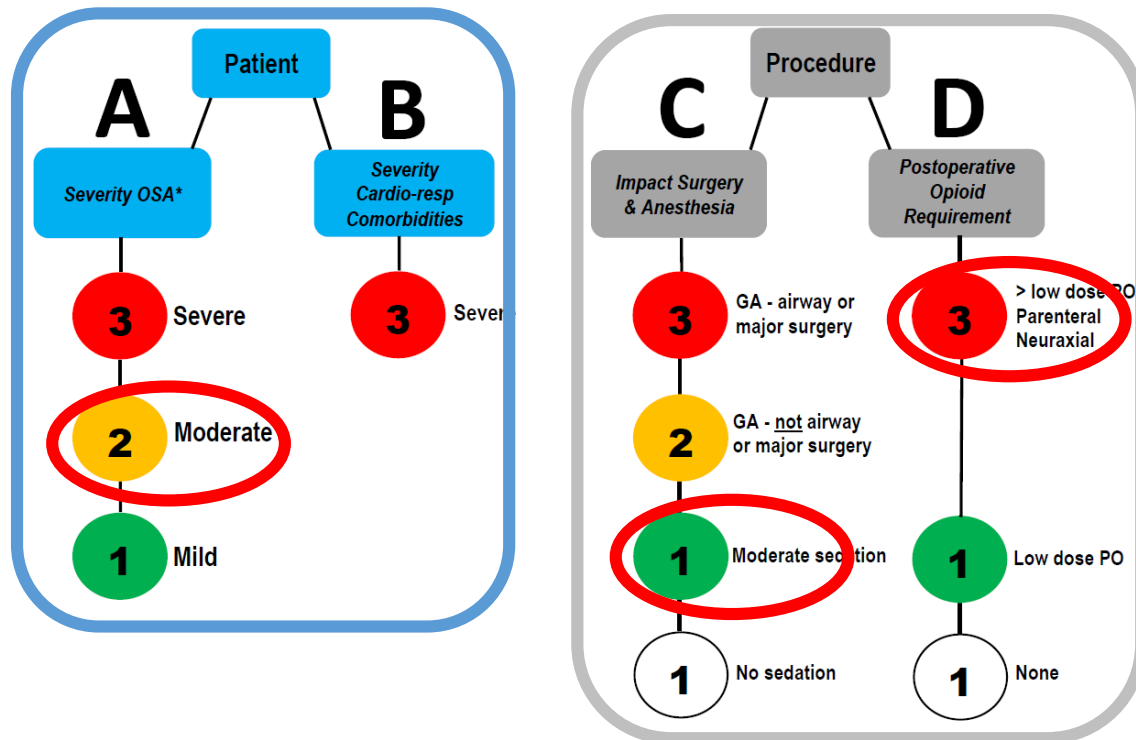
**OSA:** moderate; no PAP Tx

**Comorb:** none

**Procedure:** peripheral; SAB; sedation

**Opioid:** PCA

Add greatest score under either column A or B, to greatest score under either column C or D



Postoperative Risk Score	Postoperative Risk	Minimum Observation Level
5-6	may be significantly ↑	monitored bed
4	may be ↑	? ward
2-3	probably not ↑	home



# Postoperative Risk Score: Example 2

**MIS Chole**

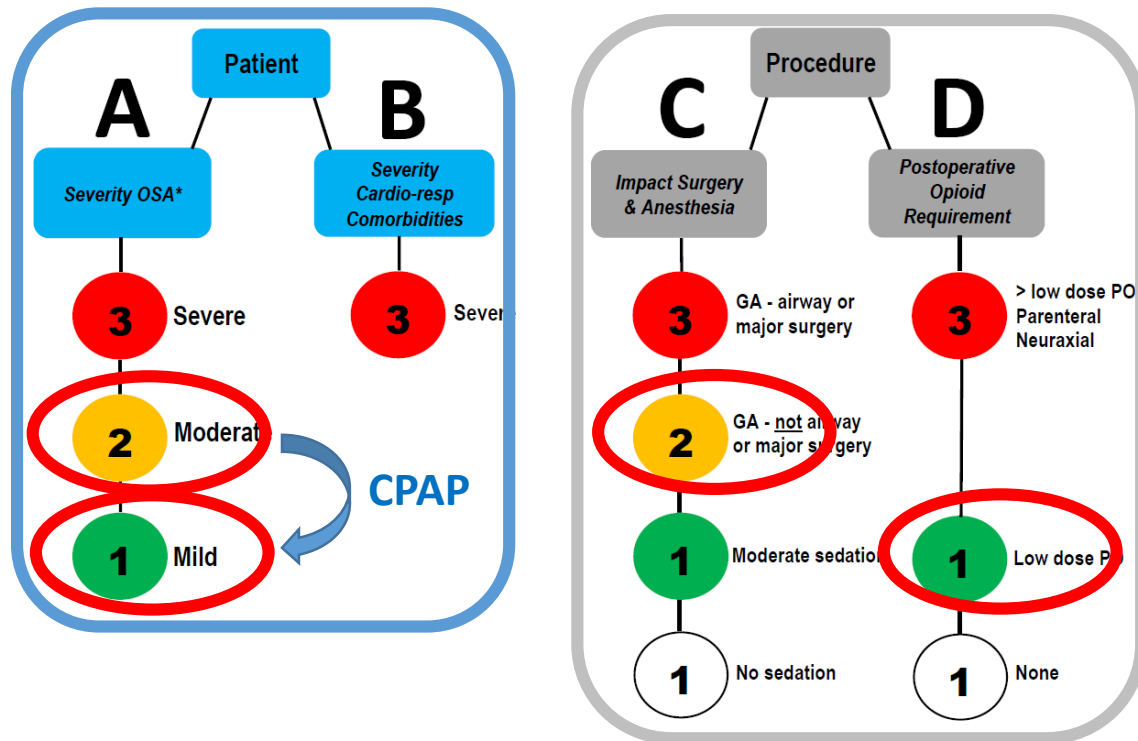
**OSA:** moderate; on CPAP

**Comorb:** no severe cardio-resp issues

**Procedure:** GA; not major

**Opioid:** low dose PO

Add greatest score under either column A or B, to greatest score under either column C or D



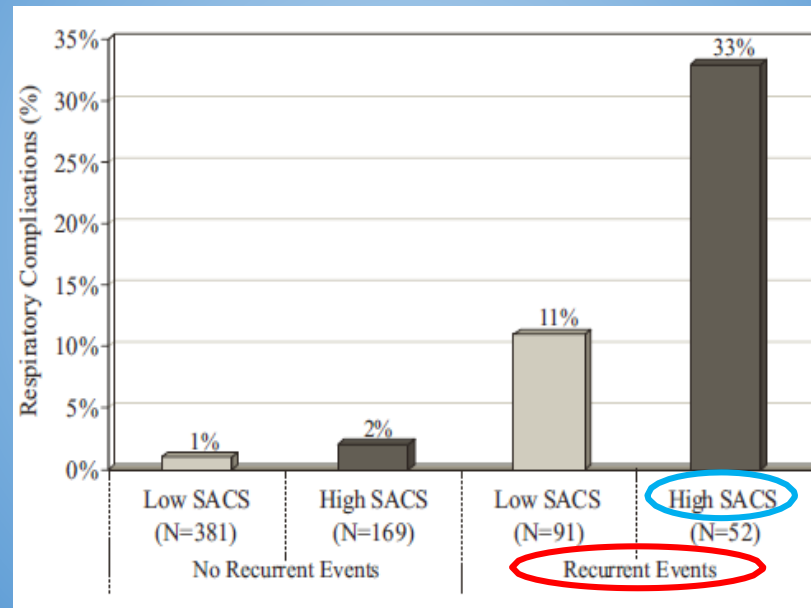
Postoperative Risk Score	Postoperative Risk	Minimum Observation Level
5-6	may be significantly ↑	monitored bed
4	may be ↑	? ward
<b>2-3</b>	probably not ↑	<b>home</b>

## *Identification of Patients at Risk for Postoperative Respiratory Complications Using a Preoperative Obstructive Sleep Apnea Screening Tool and Postanesthesia Care Assessment*

Bhargavi Gali, M.D.,\* Francis X. Whalen, M.D.,\* Darrell R. Schroeder, M.S.,† Peter C. Gay, M.D.,‡ David J. Plevak, M.D.§



Bhargavi Gali



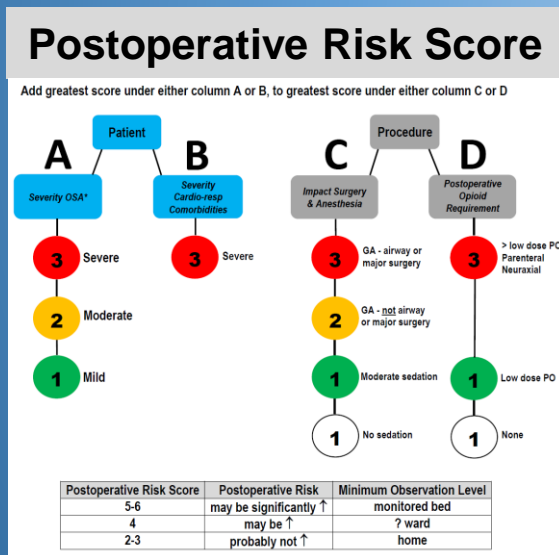
**Conclusion: recurrent resp events in PACU are signif predictors of risk of postop resp complications, esp if preop OSA screening test +ve**



# OSA: 2-component Postop Risk Prediction



[www.stopbang.ca](http://www.stopbang.ca)



### Postoperative Risk Indicators

- Recurrent respiratory events
- Newly required PAP therapy
- Respiratory failure
- Significant risk of myocardial ischemia/dysrhythmia
- Opioid or sedative requirement not stabilized
- Pain-sedation mismatch



# VIII. OSA: Postoperative Precautions

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1. Resume PAP Tx
2. Avoid supine position if possible
3. Extended PACU stay
4. Monitored bed for patients at ↑ risk
5. Respiriology consult for high risk patients
6. Caution with opioids/sedatives
7. Judicious O<sub>2</sub> supplementation
8. Provide discharge instructions



# OSA: Extended PACU Stay

---

- **2006 ASA-OSA Guideline:**
  - for a median of 3 hrs longer
- **2014 ASA-OSA Guideline:**
  - lit insuff to offer guidance re. approp duration of postop resp monitoring in pts with OSA
  - continuous monitoring should be maintained “as long as pts remain at ↑ risk”
- **2011: Seet & Chung: Algorithms for Periop OSA Mx**
  - 30-60 min after standard discharge criteria met (based on Gali’s study)



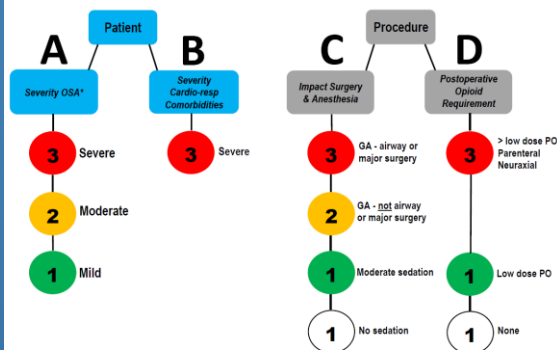


# OSA: Indications for a Monitored Bed

**High Postoperative Risk Score,  
or  
Presence of any Postoperative Risk Indicators**

## Postoperative Risk Score

Add greatest score under either column A or B, to greatest score under either column C or D



Postoperative Risk Score	Postoperative Risk	Minimum Observation Level
5-6	may be significantly ↑	monitored bed
4	may be ↑	? ward
2-3	probably not ↑	home

## Postoperative Risk Indicators

- Recurrent respiratory events
- Newly required PAP therapy
- Respiratory failure
- Significant risk of myocardial ischemia/dysrhythmia
- Opioid or sedative requirement not stabilized
- Pain-sedation mismatch



# OSA: Definition of a Monitored Bed

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- = continuous oximetry & possibility of early nursing intervention:
  - PACU/SDU/other critical care unit, or
  - dedicated, approp trained professional observer in room, or
  - remote oximetry monitoring by telemetry on ward
- consider adding cardiac monitoring if at risk of myocardial ischemia or dysrhythmia
- intermittent oximetry, or continuous oximetry without continuous observation, does not provide the same level of safety, and probably does not ↓ risk

**CPAP is not a substitute for adequate postop monitoring**



# OSA: Discharge from Monitored Bed

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- **no resp interventions required overnight** while resting/sleeping in an unstimulating environment, and
- **no other indicators** present for ongoing observation in a monitored bed

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# Sleep Apnea: O<sub>2</sub> therapy – less is more?

---

- May prolong apneas, exacerbate hypercapnia & hinder timely detection apnea & hypoventilation
- Titrate to minimum flow maintaining target baseline SpO<sub>2</sub>, e.g.:
  1. O<sub>2</sub> @ 0 to 4 L/min by NP to maintain baseline SpO<sub>2</sub> at:
    - a. ≥ 94%, or
    - b. ≥ 90% (if hypercapnia)
  2. d/c O<sub>2</sub> if baseline SpO<sub>2</sub> maintained on room air



# Sleep Apnea: Room air challenge

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- = 15 minutes of room air, to establish baseline  $\text{SpO}_2$
- if baseline room air  $\text{SpO}_2$  below 90%, patient is in hypoxemic respiratory failure, indicating ongoing need for a monitored bed, ABG & consideration of a Respiriology consult
- number & severity of desats during this time should also be considered





# IX. Conclusion: 5 more slides...

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Looking ahead...



# OSA: Electronic monitoring

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- Accoustic impedance
- Capnography
- Non-invasive ventilation
- Photoplethysmography



# OSA: continuous SpO<sub>2</sub> with alarm system

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- Taenzer et al. Anesthesiology 2011: ↓ rescue events & transfers to ICU with CPOX surveillance system of postop pts
- finger probes for continuous SpO<sub>2</sub> seem to be better tolerated than neck sensors for acoustic resp monitoring, nasal cannulas for capnography, or chest straps for RR monitoring







# Sleep Apnea – Spectrum of Postop Risk...

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Home/Ward

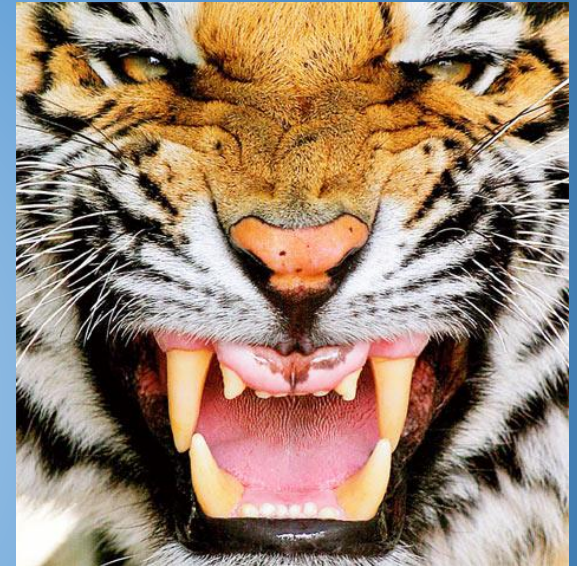


?



Ward  
(? SpO<sub>2</sub> with alarm)

?



Monitored bed





Charles J Cote  
Professor of Anesthesia  
Harvard Medical School

“death due to apnea is preventable”

